

# Canonical Invariants for $t$ -stratifications, or canonical invariants for definable sets in $\mathbb{K}((t))$

David Bradley-Williams  
joint work in progress with  
Immanuel Halupczok



Heinrich-Heine-Universität Düsseldorf

Logic Colloquium 2019, Prague

# Valuations on Laurent Series over $\mathbb{R}$ and $\mathbb{C}$

- Let  $\mathbb{K}$  be  $\mathbb{R}$  or  $\mathbb{C}$ .
- The **field of (formal) Laurent series** over  $\mathbb{K}$  is

$$\mathbb{K}((t)) = \left\{ \sum_{N \leq r \in \mathbb{Z}} a_r t^r \mid a_r \in \mathbb{K}, a_N \neq 0 \right\}.$$

# Valuations on Laurent Series over $\mathbb{R}$ and $\mathbb{C}$

- Let  $\mathbb{K}$  be  $\mathbb{R}$  or  $\mathbb{C}$ .
- The **field of (formal) Laurent series** over  $\mathbb{K}$  is

$$\mathbb{K}((t)) = \left\{ \sum_{N \leq r \in \mathbb{Z}} a_r t^r \mid a_r \in \mathbb{K}, a_N \neq 0 \right\}.$$

## Definition

The  **$t$ -adic valuation**  $|\cdot|$  on  $\mathbb{K}((t))$  is defined

- $|0| = 0$
- $\left| \sum_{N \leq r \in \mathbb{Z}} a_r t^r \right| = \gamma^N$ , where  $a_N \neq 0$  and  $\gamma \in (0, 1)$ .

## Definition

The  $t$ -**adic valuation**  $|\cdot|$  on  $\mathbb{K}((t))$ :

- $|0| = 0$ ,
- $|\sum_{r \geq N \in \mathbb{Z}} a_r t^r| = \gamma^N$ , where  $a_N \neq 0$  and  $\gamma \in (0, 1)$ .
- Valuation ring:  $\mathcal{O} = \{ \sum_{0 \leq r \in \mathbb{Z}} a_r t^r \} = \{x : |x| \leq 1\}$ ,
- Maximal ideal:  $\mathcal{M} = t \cdot \mathcal{O} = \{x : |x| < 1\}$ ,
- Residue field:  $\text{res}(\mathbb{K}((t))) = \mathcal{O}/\mathcal{M} \cong \mathbb{K}$ ,
- Value group:  $\Gamma = |\mathbb{K}((t))|$ .

- Valued field in 3-sorted language:  $(\mathbb{K}((t)), \mathbb{K}, \Gamma)$ .
- We are interested in definable subsets of  $\mathbb{K}((t))^n$ .
- For  $v = (v_1, \dots, v_n) \in \mathbb{K}((t))^n$  set

$$\|v\| = \max\{|v_i|\}.$$

- Makes  $\mathbb{K}((t))^n$  an **ultrametric space**:

$$\|u + v\| \leq \max\{\|u\|, \|v\|\}.$$

- $\mathbb{K}((t))^n$  an **ultrametric space**:

$$\|u + v\| \leq \max\{\|u\|, \|v\|\}.$$

- A basis of clopen sets for the topology is given by **closed balls**, and their coordinate projections.
- A **closed ball** in  $\mathbb{K}((t))^n$  is, for some  $z \in \mathbb{K}((t))^n$ , and  $\gamma \in \Gamma^{>0}$ ,

$$B(z, \leq \gamma) = \{u \in \mathbb{K}((t))^n : \|u - z\| \leq \gamma\}.$$

- The set BALLS<sub>c</sub><sup>(n)</sup> of all closed balls is a definable family of sets

- $\mathbb{K}((t))^n$  an **ultrametric space**:

$$\|u + v\| \leq \max\{\|u\|, \|v\|\}.$$

- A basis of clopen sets for the topology is given by **closed balls**, and their coordinate projections.
- A **closed ball** in  $\mathbb{K}((t))^n$  is, for some  $z \in \mathbb{K}((t))^n$ , and  $\gamma \in \Gamma^{>0}$ ,

$$B(z, \leq \gamma) = \{u \in \mathbb{K}((t))^n : \|u - z\| \leq \gamma\}.$$

- The set BALLS<sub>c</sub><sup>(n)</sup> of all closed balls is a definable family of sets, parametrised, say, by imaginary sort  $(\mathbb{K}((t))^n \times \Gamma^{>0}) / \sim$ .

# RV and $RV^{(n)}$

- RV is the sort  $\mathbb{K}((t))^{\times} / (1 + \mathcal{M})$ .
- The canonical map is called  $rv : \mathbb{K}((t))^{\times} \rightarrow RV$ .



# RV and $RV^{(n)}$

- RV is the sort  $\mathbb{K}((t))^{\times} / (1 + \mathcal{M})$ .
- The canonical map is called  $rv : \mathbb{K}((t))^{\times} \rightarrow RV$ .
- Preserves the “leading term”.

# RV and $RV^{(n)}$

- RV is the sort  $\mathbb{K}((t))^{\times} / (1 + \mathcal{M})$ .
- The canonical map is called  $rv : \mathbb{K}((t))^{\times} \rightarrow RV$ .
- Preserves the “leading term”.
- RV knows about the residue field and the value group:

- RV is the sort  $\mathbb{K}((t))^{\times} / (1 + \mathcal{M})$ .
- The canonical map is called  $\text{rv} : \mathbb{K}((t))^{\times} \rightarrow \text{RV}$ .
- Preserves the “leading term”.
- RV knows about the residue field and the value group:

$$0 \rightarrow \mathbb{K}^{\times} \rightarrow \text{RV} \rightarrow \mathbb{K}^{\times} / \mathcal{O}^{\times} \cong \Gamma \rightarrow 0.$$

# RV and $RV^{(n)}$

- RV is the sort  $\mathbb{K}((t))^{\times} / (1 + \mathcal{M})$ .
- The canonical map is called  $rv : \mathbb{K}((t))^{\times} \rightarrow RV$ .
- Preserves the “leading term”.
- RV knows about the residue field and the value group:

$$0 \rightarrow \mathbb{K}^{\times} \rightarrow RV \rightarrow \mathbb{K}^{\times} / \mathcal{O}^{\times} \cong \Gamma \rightarrow 0.$$

## Definition (The $n$ -dimensional leading term structure)

is defined as  $RV^{(n)} := \mathbb{K}((t))^n / \sim$  where

$$x \sim y \Leftrightarrow (\|y - x\| < \|x\| \vee x = y).$$

The canonical map  $\mathbb{K}((t))^n \rightarrow RV^{(n)}$  is denoted by  $rv^{(n)}$ .

# Isometries vs Risometries

- Suppose  $B \subseteq \mathbb{K}((t))^n$  is a closed ball. Write **nnd** to emphasise “not necessarily definable”.

## Definition

An **nnd-isometry** on  $B$  is a bijection  $f : B \rightarrow B$  such that for all  $x_1, x_2 \in B$ ,

$$\|\phi(x_1) - \phi(x_2)\| = \|x_1 - x_2\|.$$

# Isometries vs Risometries

- Suppose  $B \subseteq \mathbb{K}((t))^n$  is a closed ball. Write **nnd** to emphasise “not necessarily definable”.

## Definition

An **nnd-isometry** on  $B$  is a bijection  $f : B \rightarrow B$  such that for all  $x_1, x_2 \in B$ ,

$$\|\phi(x_1) - \phi(x_2)\| = \|x_1 - x_2\|.$$

## Definition

An **nnd-risometry** on  $B$  is a bijection  $\phi : B \rightarrow B$  such that for all  $x_1, x_2 \in B$ ,

$$\text{rv}^{(n)}(\phi(x_1) - \phi(x_2)) = \text{rv}^{(n)}(x_1 - x_2).$$

# Isometries vs Risometries

- Suppose  $B \subseteq \mathbb{K}((t))^n$  is a closed ball. Write **nnd** to emphasise “not necessarily definable”.

## Definition

An **nnd-isometry** on  $B$  is a bijection  $f : B \rightarrow B$  such that for all  $x_1, x_2 \in B$ ,

$$\|\phi(x_1) - \phi(x_2)\| = \|x_1 - x_2\|.$$

## Definition

An **nnd-risometry** on  $B$  is a bijection  $\phi : B \rightarrow B$  such that for all  $x_1, x_2 \in B$ ,

$$\text{rv}^{(n)}(\phi(x_1) - \phi(x_2)) = \text{rv}^{(n)}(x_1 - x_2).$$

For  $x_1, x_2$  **distinct**, same as the condition

$$\|\phi(x_1) - \phi(x_2) - (x_1 - x_2)\| < \|x_1 - x_2\|.$$

# Isometries vs Risometries vs Translations

- Suppose  $B \subseteq \mathbb{K}((t))^n$  is a closed ball.

## Definition

A **risometry**  $\phi : B \rightarrow B$  is a bijection such that for all  $x_1, x_2 \in B$ ,

$$\text{rv}^{(n)}(\phi(x_1) - \phi(x_2)) = \text{rv}^{(n)}(x_1 - x_2).$$

For  $x_1, x_2$  **distinct**, same as the condition

$$\|\phi(x_1) - \phi(x_2) - (x_1 - x_2)\| < \|x_1 - x_2\|.$$

- **Isometries** preserve the valuation of differences;
- **Risometries** preserve the “leading term” of differences;
- **Translations** precisely preserve differences.



- $X$  is **nnd-risometric** to  $Y$  on  $B \in \text{BALLS}_c$  if there exists a risometry on  $B$  taking  $X$  to  $Y$ .

- $X$  is **nnd-*risometric*** to  $Y$  on  $B \in \text{BALLS}_c$  if there exists a *risometry* on  $B$  taking  $X$  to  $Y$ .

### Theorem (BW, Halupczok)

Let  $A$  be some parameters (from any sort) and suppose that  $X, Y \subseteq \mathbb{K}((t))^n$  are  $L(A)$  definable. Suppose  $Q \subseteq \mathbb{K}((t))^m \times \text{RV}^{(\ell)}$  is an  $L(A)$ -definable set parametrising closed balls  $\{B_q\}_{q \in Q}$  in  $\mathbb{K}((t))^n$ . Then there is an  $L(A)$ -formula  $\psi(z)$  such that, for each  $q \in Q$ ,

$$\models \psi(q) \Leftrightarrow X \text{ is nnd-}i\text{risometric to } Y \text{ on } B_q.$$

Prove inductively on ambient dimension  $n$  in tandem with

### Theorem (BW, Halupczok)

Let  $A$  be some parameters (from any sort) and suppose that  $X \subseteq \mathbb{K}((t))^n$  is  $L(A)$ -definable. Suppose  $Q \subseteq \mathbb{K}((t))^m \times \text{RV}^{(\ell)}$  is an  $L(A)$ -definable set parametrising closed balls  $\{B_q\}_{q \in Q}$  in  $\mathbb{K}((t))^n$ . Then for each  $r \leq n$  there is an  $L(A)$ -formula  $\tau_r(z)$  such that, for each  $q \in Q$ ,

$$\models \tau_r(q) \Leftrightarrow$$

on  $B_q$ ,  $X$  is  $n$ -dimensional isometric with some subspace  $\hat{V} \subseteq \mathbb{K}((t))^n$  such that  $\text{res}(\hat{V}) \subseteq \mathbb{K}^n$  is an  $r$ -dim  $\mathbb{K}$ -vector space.

- Upshot: Given an  $L(A)$ -definable set  $X$ , the partition of  $\text{BALLS}_c^{(n)}$  into the balls on which  $X$  is “ $r$ -translatable” is  $L(A)$ -definable (in the sense stated above).

Thank you for your attention!